

17114 U.S. PTO
031904

UTILITY
PATENT APPLICATION
TRANSMISSION

Our Docket No.:
34098/US/2 463077-00270

Date: March 19, 2004

First Named Inventor: Shannon Marshall

Title: GENERATING PROTEIN PRO-DRUGS USING
REVERSIBLE PPG LINKAGES

Express Mail No.: EV 424739018 US

22264 U.S. PTO
10/811492
031904

ADDRESS TO: **Box Patent Application**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

- | | |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Calculation Sheet (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages: 20 <input checked="" type="checkbox"/> Descriptive title of the invention <input checked="" type="checkbox"/> Cross References to Related Applications <input type="checkbox"/> Statement Regarding Fed. Sponsored R&D <input type="checkbox"/> Reference to Microfiche Appendix <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Summary of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claims <input checked="" type="checkbox"/> Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawings (35 U.S.C. 113) Total Sheets:</p> <p>5. <input type="checkbox"/> Oath or Declaration Total Pages: a. <input type="checkbox"/> Newly Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed) 1. <input type="checkbox"/> <u>DELETION OF INVENTORS</u> Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identify of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission</p> <p>12. <input type="checkbox"/> Power of Attorney</p> <p>13. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>14. <input type="checkbox"/> Information Disclosure Statement (with Copies of Citations as necessary)</p> <p>15. <input type="checkbox"/> Preliminary Amendment Total Pages:</p> <p>16. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized)</p> <p>17. <input type="checkbox"/> Certified Copy of Priority document(s) (if foreign priority is claimed)</p> <p>18. <input type="checkbox"/> Other</p> |
|--|--|

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) ☒ Utility Conversion Application claiming benefit of priority under 35 U.S.C. § 119(e) to U.S. Provisional Patent Application Serial No. 60/456094, filed March 19, 2004:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

| | | | |
|-----------|---|----------------------------------|------------------------|
| ATTY NAME | Robin M. Silva Reg. No. 38,304 | SIGNATURE: <i>Robin M. Silva</i> | DATE: March 19, 2004 |
| ADDRESS | DORSEY & WHITNEY LLP Four Embarcadero Center Suite 3400 San Francisco, California 94111-4187 | | Customer Number: 32940 |
| TELEPHONE | (415) 781-1989 | | |
| FAX | (415) 398-3249 | | |

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APPLICATION FEE TRANSMITTAL SHEET (FY 2004)

Complete if Known

| | |
|----------------------|-------------------------|
| Application No. | TBD |
| Filing Date | March 19, 2004 |
| First Named Inventor | Shannon Marshall |
| Group Art Unit | TBD |
| Examiner Name | TBD |
| Atty. Docket Number | 34098/US/2 463077-00270 |

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17

☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee | Small Entity Fee | Fee Description |
|---------------------|------------------|--|
| 160 | 80 | <input type="checkbox"/> Provisional Filing Fee |
| 770 | 385 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 340 | 170 | <input type="checkbox"/> Design Filing Fee |
| 770 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| Subtotal (1) | | 385.00 |

2. EXTRA* CLAIM FEES

| | Number Claims | Prior | Extra | Fee from Below* | Fee Paid |
|---------------------------|---------------|-------|-------|-----------------|----------|
| Total | 11 | - | 20 | = 0 x \$9.00 = | 0.00 |
| Indep. | 1 | - | 3 | = 0 x \$43.00 = | \$0.00 |
| Multiple Dependent Claims | | | | = x \$145.00 = | \$0.00 |
| Subtotal (2) | | | | | \$9.00 |

***Calculation of Extra Claim Fees**

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|---|
| 18 | 9 | Claims in excess of 20 |
| 86 | 43 | Independent claims in excess of 3 |
| 290 | 145 | Multiple dependent Claim |
| 84 | 42 | Reissue independent claims over original patent |
| 18 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee paid |
|------------------|------------------|--|----------|
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 65 | Surcharge - Late nonprovisional filing fee or oath | |
| 180 | 180 | Submission of IDS | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 110 | 55 | Extension for reply within first month | |
| 420 | 210 | Extension for reply within second month | |
| 950 | 475 | Extension for reply within third month | |
| 1,480 | 740 | Extension for reply within fourth month | |
| 2010 | 1005 | Extension for reply within fifth month | |
| 770 | 385 | Submission After Final 1.129 | |
| 330 | 165 | Notice of Appeal | |
| 330 | 165 | Filing a brief in support of an appeal | |
| 290 | 145 | Request for oral hearing | |
| 110 | 55 | Terminal Disclaimer Fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 1,330 | 665 | Utility/Reissue Issue Fee (including advance copies) | |
| 480 | 240 | Design Issue Fee (inc. advance copies) | |
| 770 | 385 | Request for Continued Examination (RCE) | |
| 300 | 300 | Publication fee for early, voluntary, or normal publication | |
| 300 | 300 | Publication fee for re-publication | |
| 110 | 55 | Petition to Revive - unavoidable | |
| 1,330 | 665 | Petition to Revive - unintentional | |

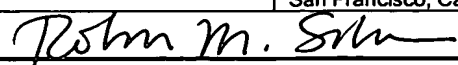
OTHER FEE (specify):

Subtotal (3)

Total Amount of Payment:

\$385.00

Submitted by:

| | | |
|--|---|------------------------------|
| Name: Robin M. Silva | Reg. No.: 38,304 | Telephone: |
| DORSEY & WHITNEY LLP | Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187 | Customer Number 32940 |
| Signature:  | Date: March 19, 2004 | |